

---

## APPENDIX 6. Air Transportation Oversight System

---

### CHAPTER 8. IMPLEMENTATION (ACTION)

**801. INTRODUCTION.** The Implementation (Action) process is used by Certificate Management Teams (CMT) to ensure that certificate holders eliminate hazards or reduce risk levels.

**802. OBJECTIVE.** This chapter provides the policies and procedures related to the Risk Management Process.

**803. RESPONSIBILITY.** The CMT members and their assigned roles and responsibilities for Chapter 8, Implementation (Action), are identified below.

**a. Regional Division Managers** allocate resources to support the Risk Management Process.

**b. CHDO/CMO Managers** provide the resources necessary to support the Risk Management Process.

**c. Principal Inspectors (PI)** have the overall responsibility for the Risk Management Process.

**d. CMT Members.** Any Aviation Safety Inspector (ASI) on the active CMT Roster may be assigned to perform tasks associated with the Risk Management Process.

**e. Operations Research Analyst (ORA).** The ORA assists the CMT in the Risk Management Process by analyzing and evaluating data.

**f. Data Evaluation Program Manager (DEPM).** The primary responsibility of the DEPM is to evaluate data that has been

entered into the ATOS Data Repository using the ATOS Data Quality Guidelines.

**804. POLICY AND PROCEDURES.** The Risk Management Process described in this chapter is the method used to develop, report, and document the Implementation (Action) process. Detailed instructions for using the Risk Management Process are provided in the Risk Management User Guide.

The Risk Management Process can be used to:

- Track the actions taken by the CMT to ensure that the certificate holder eliminates hazards or reduces risk levels.
- Track the actions of a System Analysis Team (SAT).

**a. Begin Risk Management Process Development.** The PI uses the Risk Management Process to ensure that the certificate holder addresses hazards forwarded from the analysis process and other sources based on:

- Analysis outcome
- Local, Regional, or National considerations
- Timeliness of required actions, and
- Any other unique factors

**b. Select Approach.** The PI/designated person selects one of the following three approaches for ensuring the certificate holder manages its risks. If the selected approach is “Monitor” or “Transfer”, the PI may proceed to paragraph 804 i, “Close RMP”.

**(1) Monitor.** When the PI/designated person determines that no additional action is needed, the CMT continues to monitor the